



**SETTLEMENT INSTRUCTION (SI) / INVESTOR SETTLEMENT INSTRUCTION (ISI) FORM**

**證券交收指示 / 投資者交收指示 (Participant ID 交易所參與者編號: B01694)**

A/C No. 賬戶號碼: \_\_\_\_\_ Date: \_\_\_\_\_

Client Name/客戶名稱: \_\_\_\_\_ Ref. No.: \_\_\_\_\_

Please receive the following securities for my/our above securities account 請將下列證券收收到本人/吾等之上述賬戶  
 Please deliver the following securities from my/our above securities account 請將下列證券由本人/吾等之上述賬戶交出  
 and input the following Settlement Instructions (SI) / Investor Settlement Instruction (ISI) into CCASS with details as follows:  
 並根據下列資料將交收指示 / 投資者交收指示輸入中央結算系統：

Stock Code 股票代號	Stock Name 股票名稱	Quantity 數量	Settlement Amount\$(for DVP) 交易金額 (港幣) (貨銀對付適用)

**COUNTERPARTY 交收對手**  
 My/counterparty Name 本人/交收對手名稱 : \_\_\_\_\_  
 My/Counterparty CCASS Participant ID : \_\_\_\_\_  
 本人/交收對手之中央結算系統參與者編號 : \_\_\_\_\_  
 Securities Account No 賬戶號碼 : \_\_\_\_\_  
 Telephone No. 電話號碼 : \_\_\_\_\_  
 Settlement Date 交收日期 : \_\_\_\_\_

**PAYMENT INSTRUCTION 付款指示**  
 Free Of Payment 無需付款交收  
 Delivery / Receipt Versus Payment (DVP)  
 貨銀對付交收 (Settlement Amount 交收金額: \_\_\_\_\_)

**PURPOSE OF SETTLEMENT INSTRUCTION 交收指示目的**  
 Broker-custodian Settlement  Portfolio Movement

\_\_\_\_\_  
 Client's Signature/客戶簽署

\_\_\_\_\_  
 A.E.'s/Rep's Signature/客戶主任/代表經紀簽署

**\*\* (For Office Use Only)\*\***

\_\_\_\_\_  
 Signature Verified/核對簽名

\_\_\_\_\_  
 Approved/核准

\_\_\_\_\_  
 CCASS Inputted/輸入

\_\_\_\_\_  
 CCASS Authorized/批核

Date/日期 :

Date/日期 :

Date/日期 :

Date/日期 :